



Notice of a public meeting of

Audit & Governance Committee

To:	Councillors Derbyshire (Chair), Lisle, Shepherd, Cuthbertson, Fenton, Kramm and Steward Mr Mendus, Mr Mann (Independent Members)
Date:	Wednesday, 11 April 2018
Time:	5.30pm
Venue:	The George Hudson Board Room - 1st Floor West Offices (F045)

AGENDA

1. **Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

2. **Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm on Tuesday, 10 April 2018**.

To register, please contact the Democracy Officer for the meeting on the details at the foot of this agenda.

Filming, Recording or Webcasting Meetings

Please note this meeting will be filmed and webcast and that includes any registered public speakers who have given their permission. This broadcast can be viewed at:

<http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf

3. Observations and Learning from the LGA Peer Review (Pages 1 - 22)

The report is provided for the Committee members to consider the elements of learning identified through the external LGA peer review.

4. Monitor 4 2017/18 - Key Corporate Risks (Pages 23 - 60)

The purpose of this paper is to present Audit & Governance Committee with an update on the key corporate risks (KCRs) for City of York Council, which are included at Annex A. A detailed analysis of KCR2 (Governance) is included at Annex B.

5. Mazars Audit Update Report (Pages 61 - 68)

The paper attached at Annex A from Mazars, the Council's external auditors, reports on progress in delivering their responsibilities as auditors.

6. Mazars Audit Strategy Memorandum Report (Pages 69 - 88)

The paper attached at Annex A from Mazars, the Council's external auditors, summarises their audit approach, highlights significant areas of key judgements and provides details of the audit team.

7. Internal Audit & Counter Fraud Plans 2018/19 (Pages 89 - 112)

This report seeks the Committee's approval for the planned programme of internal audit work to be undertaken in 2018/19. It also includes details of the planned programme of counter fraud work.

8. Audit & Counter Fraud Monitoring Report (Pages 113 - 144)

This report provides an update on progress made in delivering the internal audit workplan for 2017/18 and on current counter fraud activity.

9. Internal Audit Follow Up Report (Pages 145 - 154)

This is the regular six-monthly report to the Committee setting out progress made by council departments in implementing actions agreed as part of internal audit work.

10. Audit & Governance Committee Forward Plan to February 2019 (Pages 155 - 162)

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to February 2019.

11. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

This information can be provided in your own language.

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

☎ (01904) 551550